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Patent
Case No.: 59415US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.
Application No.: 10/726995 Confirmation No.: 4511
Filed: December 2, 2003
Title: PHOSPHOR BASED LIGHT SOURCE HAVING A POLYMERIC SHORT PASS REFLECTOR

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

<p>CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]</p> <p>I hereby certify that this correspondence is being:</p> <p><input type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p><input checked="" type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300. * 8 pages *</p> <p><u>September 5, 2006</u> Date</p> <p><u>Andrew Newhouse</u> Signed by: Andrew Newhouse</p>

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A copy of the cited non-patent literature document is enclosed. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

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Fees:

- ☒ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.
One copy of this sheet marked duplicate is also enclosed.
- ☐ Any required fee will be paid at the time of EFS-Web submission.
- ☒ If necessary, charge any required fee, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

1 Sept 2006
Date

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Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1	Application Number	10/726995
	Filing Date	December 2, 2003
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	Confirmation Number	4511
	Attorney Case Number	59415US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,727,313	04-27-2004	Zhou et al.	
	A2	US- 6,819,486	11-16-2004	Ma et al.	
	A3	US- 6,940,643	09-06-2005	Ma et al.	
	A4	US- 2005/0286124	12-29-2005	Ma et al.	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
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	A11	US-			
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OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Encyclopedia of Polymer Science and Engineering; Emulsion Polymerization to Fibers, Manufacture, Volume 6, John Wiley & Sons, New York, 1986.	
	C2		
	C3		

*Examiner:	Date Considered:
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